The Addison Wesley Signature Series

A Mike Cohn Signature Book

Essential Scrum

A Practical Guide to the Most Popular Agile Process

Kenneth S. Rubin

Forewords by Mike Cohn and Ron Jeffries

Praise for *Essential Scrum*

"Agile coaches, you're gonna be happy with this book. Kenny Rubin has created an indispensable resource for us. Do you have a manager who just doesn't 'get it'? Hand them this book and ask them to flip to Chapter 3 for a complete explanation of how Scrum is less risky than plan-driven management. It's written just for them—in management-speak. Want to help the team come to a common understanding of Scrum? The visual icon language used throughout this book will help you help them. These are just two ways this book can aid you to coach Scrum teams. Use it well."

—Lyssa Adkins, Coach of Agile Coaches, Agile Coaching Institute; author, *Coaching Agile Teams*

"One of the best, most comprehensive descriptions of the core Scrum framework out there! *Essential Scrum* is for anyone—new to or experienced with Scrum—who's interested in the most important aspects of the process. Kenny does an excellent job of distilling the key tenets of the Scrum framework into a simple format with compelling visuals. As a Scrum coach for many teams, I continually reference the material for new ways to help teams that are learning and practicing the framework. I've seen Scrum continually misinterpreted and poorly implemented by big companies and tool vendors for more than ten years. Reading this book will help you get back to the basics and focus on what's important."

—Joe Balistrieri, Process Development Manager, Rockwell Automation

"Corporate IT leadership, which has been slow to embrace agile methods, would benefit immensely from giving a copy of this book to all of their project and delivery managers. Kenny Rubin has laid out in this book all the pragmatic business case and process materials needed for any corporate IT shop to successfully implement Scrum."

—John F. Bauer III, veteran of technical solution delivery in large corporate IT shops

"Kenny's extensive experience as a consultant, trainer, and past managing director of the Scrum Alliance is evident in this book. Along with providing the basics and introduction to Scrum, this book addresses the questions of masses—what happens to project managers? *Essential Scrum* helps us understand the big picture and guides how organization leaders can support and be involved with their Scrum teams for successful agile transformations."

—Sameer S. Bendre, CSM, PMP, Senior Consultant, 3i Infotech Inc.

"If you're new to agile development or to Scrum, this book will give you a flying start. The examples and descriptions are clear and vivid, and you'll often find yourself asking a question just before the book addresses that very topic."

—Johannes Brodwall, Principal Solution Architect, Steria Norway

"Kenny's well-structured explanations have a clarity to them that echoes the sensibilities of Smalltalk—the development environment with which he worked for years and from which both Scrum and Extreme Programming were born. This book pulls together a thorough set of agile management principles that really hit the mark and will no doubt guide you toward a more effective agile approach."

—Rowan Bunning, Founder, Scrum WithStyle

"There are lots of books on Scrum these days, but this book takes a new angle: a reality check for software practitioners. Kenny uses real-world examples and clear illustrations to show what makes a solid foundation for successful agile development. Readers will understand the value of building quality in, and the reality that we can't get everything right up front; we must work incrementally and learn as we go. It might have 'Scrum' in the title, but the book leverages effective practices from the larger agile universe to help managers and their teams succeed."

—Lisa Crispin, coauthor, *Agile Testing*

"Kenny Rubin managed to write the book that I want everyone associated with Scrum development to read! He covers everything you'll need to know about Scrum and more!"

—Martine Devos, European Scrum Pioneer and Certified Scrum Trainer

"I've reviewed a number of agile books in the past few years, so the question of 'Do we really need another one?' always comes to my mind. In the case of Kenny's book, I very much believe the answer is 'yes.' Getting the benefit of different, experienced perspectives on commonly encountered and needed material is valuable. Kenny has one of those valuable perspectives. One unique aspect of the book is an interesting 'iconography'—a new icon language for Scrum and agile that Kenny has created. I believe you'll find value-added material in this book to expand your ideas for how Scrum can be applied."

—Scott Duncan, Agile/Scrum coach and trainer

"Anyone who has had Scrum training or has been part of a Scrum team will find *Essential Scrum* to be a great follow-up read. It dives into the details of how to become more agile through implementing Scrum processes, and it explains exactly how to break down complex projects into manageable initiatives (or 'sprints'). Kenny Rubin provides a wealth of relevant case studies on what worked—or what didn't—in a

variety of organizations. The simple layout and businesslike graphics make it easy to scan quickly and find specific topics. Any organization that is seeking to evolve from a traditional waterfall approach toward a more agile methodology will find *Essential Scrum* a definitive guidebook for the journey."

—Julia Frazier, product manager

"Developing software is hard. Adopting a new way of working while in a project is even harder. This book offers a bypass of many of the pitfalls and will accelerate a team's ability to produce business value and become successful with Scrum. I wish I had this kind of book when I started using Scrum."

—Geir Hedemark, Development Manager, Basefarm AS

"I am convinced that *Essential Scrum* will become the foundation reference for the next generation of Scrum practitioners. Not only is it the most comprehensive introduction to Scrum available today, but it is also extremely well written and easy on the eye with its fantastic new visual Scrum language. If that isn't enough, Kenny shares a range of his valuable personal insights and experiences that we can all certainly learn from."

—Ilan Goldstein, Agile Solutions Manager, Reed Elsevier

"Scrum is elegantly simple, yet deceptively complex. In *Essential Scrum,* Kenny Rubin provides us with a step-by-step guide to those complexities while retaining the essential simplicity. Real-world experiences coupled with enlightening illustrations make Scrum come to life. For senior managers and team members alike, this is a must-read book if you are starting or considering whether to implement Scrum in your organization. This will certainly be a book recommended to my students."

—John Hebley, Hebley & Associates

"Kenny unpacks a wealth of wisdom and knowledge in *Essential Scrum,* providing valuable and comprehensive insights to the practical application of agile/Scrum. Whether you're new to agile or are looking to reach a greater maturity of continuous improvement in your organization, this is a definitive handbook for your toolbox."

—David Luzquiños, Head of Agile Enablement, Agile Coach, Betfair

"Kenny Rubin continues to provide clarity and insight into adopting agile in a pragmatic way. In one hand he holds the formal or ideal Scrum definition, and in the other, the pragmatic application of it. He brings the wisdom of his workshops and years of experience to the table for you to read in his latest book. If you are about to start out on your agile adoption journey or are seeking guidance midcourse, grab a copy."

—Cuan Mulligan, freelance coactive Agile coach

"A decade after publication of the first Scrum books, it is time to combine the essential aspects of the Scrum framework with the practical experiences and approaches of the last ten years. Kenny Rubin does so in a satisfying and nondogmatic way. The reader gets a pragmatic look at Scrum and learns when and how to best apply Scrum to achieve business benefits."

—Yves Stalgies, Ph.D., Director IT, www.etracker.com

"Adoption of Scrum is most successful when everyone involved—even peripherally—with product development has a good understanding of the fundamentals. *Essential Scrum* provides an ideal overview of both the big picture and the details in an accessible style. It is sure to become a standard reference."

—Kevin Tureski, Principal, Kevin Tureski Consulting

Essential Scrum

The Addison-Wesley Signature Series

Kent Beck, Mike Cohn, and Martin Fowler, Consulting Editors

Visit **informit.com/awss** for a complete list of available products.

The Addison-Wesley Signature Series provides readers with practical and authoritative information on the latest trends in modern technology for computer professionals. The series is based on one simple premise: Great books come from great authors. Titles in the series are personally chosen by expert advisors, world-class authors in their own right. These experts are proud to put their signatures on the covers, and their signatures ensure that these thought leaders have worked closely with authors to define topic coverage, book scope, critical content, and overall uniqueness. The expert signatures also symbolize a promise to our readers: You are reading a future classic.

Make sure to connect with us!
informit.com/socialconnect

Addison Wesley | informIT.com THE TRUSTED TECHNOLOGY LEARNING SOURCE | Safari Books Online

Essential Scrum

A Practical Guide to the Most Popular Agile Process

Kenneth S. Rubin

Addison-Wesley
Upper Saddle River, NJ • Boston • Indianapolis • San Francisco
New York • Toronto • Montreal • London • Munich • Paris • Madrid
Capetown • Sydney • Tokyo • Singapore • Mexico City

Library of Congress Cataloging-in-Publication Data
Rubin, Kenneth S.
Essential Scrum : a practical guide to the most popular agile process / Kenneth S. Rubin.
p. cm.
Includes bibliographical references and index.
ISBN 978-0-13-704329-3 (pbk. : alk. paper)—ISBN 0-13-704329-5 (pbk. : alk. paper)
1. Scrum (Computer software development) 2. Agile software development. 3. Project management. I. Title.
QA76.76.D47R824 2012
005.1—dc23

2012010892

ISBN-13: 978-0-13-704329-3
ISBN-10: 0-13-704329-5
Text printed in the United States on recycled paper at Edwards Brothers Malloy in Ann Arbor, Michigan.
First printing, July 2012

To my wife, Jenine, for all your loving support
To my sons, Jonah and Asher, for inspiring me
To my father, Manny, for teaching me the value of hard work
To my mother, Joyce, for showing me what real courage looks like
(may her memory be a blessing)

This page intentionally left blank

Contents

This page intentionally left blank

List of Figures

FOREWORD BY MIKE COHN

I had lunch today at a Burger King. A sign on the wall proclaimed the restaurant the "Home of the Whopper" and then proceeded to tell me there were over a million different ways to order a Whopper. If various combinations of extra or no pickles, tomatoes, lettuce, cheese, and so on can lead to over a million ways to make a hamburger, there must be billions of possible ways to implement Scrum. And while there is no single right way, there are better and worse ways to implement Scrum.

In *Essential Scrum*, Kenny Rubin helps readers find the better ways. His isn't a prescriptive book—he doesn't say, "You must do this." Instead, he teaches the essential principles underlying success with Scrum and then gives us choices in how we live up to those principles. For example, there is no one right way for all teams to plan a sprint. What works in one company or project will fail in another. And so Kenny gives us choices. He describes an overall structure for why Scrum teams plan sprints and what must result from sprint planning, and he gives us a couple of alternative approaches that will work. But ultimately the decision belongs to each team. Fortunately for those teams, they now have this book to help them.

An unexpected benefit of *Essential Scrum* is the visual language Kenny introduces for communicating about Scrum. I found these images very helpful in following along with the text, and I suspect they will become commonplace in future discussions of Scrum.

The world has needed this book for a long time. Scrum started as a small concept. The first book to talk about it—*Wicked Problems, Righteous Solutions* in 1990 by DeGrace and Stahl—did so in six pages. But in the more than 20 years since that book appeared, Scrum has expanded. New roles, meetings, and artifacts have been introduced and refined. With each new piece that was added, we were at risk of losing the heart of Scrum, that part of it that is about a team planning how to do something, doing some small part of it, and then reflecting on what the team members did and how well they did it together.

With *Essential Scrum*, Kenny brings us back to the heart of Scrum. And from there teams can begin to make the decisions necessary to implement Scrum, making it their own. This book serves as an indispensable guide, helping teams choose among the billions of possible ways of implementing Scrum and finding one that leads to success.

—Mike Cohn
Author of *Succeeding with Agile, Agile Estimating and Planning,* and *User Stories Applied*
www.mountaingoatsoftware.com

This page intentionally left blank

FOREWORD BY RON JEFFRIES

When Kenny asked me to write a foreword for *Essential Scrum,* I was thinking, “This will be quick and easy; it must be a short book going straight to a simple description of what Scrum is.” I knew Kenny’s work, so I knew it would be a good read, and short, too. What could be better!

Imagine my surprise and delight when I found that this book covers just about everything you’ll need to know about Scrum, on the first day or years into your use of Scrum. And Kenny doesn’t stop there. He starts with the central ideas, including the agile principles that underlie all the agile methods, and a quick view of the Scrum framework. Then he drills in, deeper and deeper. It’s still a good read, and it’s quite comprehensive as well.

Kenny covers planning in good detail, looking at requirements, stories, the backlog, estimation, velocity. Then he takes us deeper into the principles and helps us deal with all the levels of planning and all the time horizons. He describes how sprints are planned, executed, reviewed, and improved. And throughout, he gives us more than the basics, highlighting key issues that you may encounter as you go along.

My own focus in Scrum and agile is on the necessary developer skills to ensure that teams can deliver real, running, business-focused software, sprint after sprint. Kenny helps us understand how to use ideas like velocity and technical debt safely and well. Both of these are critical topics, and I commend them to your attention.

Velocity tells us how much the team is delivering over time. We can use it to get a sense of how much we’re getting done and whether we’re improving. Kenny warns us, however, that using velocity as a performance measure is damaging to our business results, and he helps us understand why.

Technical debt has become a very broad term, referring to almost everything that could go wrong in the code. Kenny helps us tease apart all the various meanings and helps us understand why we care about these seemingly technical details. In particular, I like his description of how putting a team under pressure will inevitably damage our prospects of getting a good product on time.

Scrum, like all agile methods, relies on an exploratory approach with rapid feedback. Kenny tells a story of his brief use of punch cards, and it reminded me of my earliest experience with computing, many years before Kenny saw his first punch card.

As a college student, I was lucky enough to get a job as a sort of intern at Strategic Air Command headquarters in Omaha. In those days all computing was on cards. My

cards got sent down several floors underground at SAC HQ and run on the computer that would run the war, if we ever had one. I was lucky to get one or two runs a day.

As soon as my security clearance came through, I would go down to the computer room in the middle of the night. I would sweet-talk Sergeant Whittaker into letting me run my own programs, sitting at the console of the machine—yes, the machine whose main job was to launch a nuclear attack. Rest easy, though: The red button was not in that room.

Working hands-on with the machine, I got ten times as much work done as when I had to wait for my cards to be taken down and my listings to be brought back up. Feedback came faster, I learned faster, and my programs worked sooner.

That's what Scrum is about. Instead of waiting months or even years to find out what the programmers are doing, in Scrum we find out every couple of weeks. A Scrum product owner with a really good team will be seeing actual features taking shape every few days!

And that is what Kenny's book is about. If you're new to Scrum, read it through from beginning to end. Then keep it nearby. If you've been doing Scrum for a while, scan it, then keep it nearby.

When you find yourself thinking about something that's happening to your team, or wondering about different things to try, pick up this book and look around. Chances are you'll find something of value.

—Ron Jeffries

PREFACE

This book discusses Essential Scrum—the things you have to know if you're going to be successful when using Scrum to develop innovative products and services.

What Is Essential Scrum?

Scrum is based on a small set of core **values**, **principles**, and **practices** (collectively the **Scrum framework**). Organizations using Scrum should embrace the Scrum framework in its entirety, perhaps not through the entire organization all at once, but certainly within the initial teams that will use Scrum. Embracing all of Scrum does not mean, however, that organizations must implement Scrum according to some cookie-cutter, one-size-fits-all formula. Rather, it means that organizations should always stay true to the Scrum framework while choosing an appropriate blend of **approaches** for their Scrum implementations.

Essential Scrum combines the values, principles, and practices of Scrum with a set of tried-and-true approaches that are consistent with, but not mandated by, the Scrum framework. Some of these approaches will be appropriate to your situation; others will not. Any approach will need to be inspected and adapted to your unique circumstances.

Origins of This Book

As an agile/Scrum coach and trainer, I am frequently asked for a reference book for Scrum—one that provides a comprehensive overview of the Scrum framework and also presents the most popular approaches for applying Scrum. Because I have been unable to find a single book that covers these topics at a level deep enough to be useful to today's practitioners, I found myself recommending a collection of books: a few that discuss the Scrum framework but are out of date or incomplete; several highly regarded agile books that do not focus solely on Scrum; and a handful that are focused on a specific aspect of Scrum or a specific approach but do not cover the full Scrum framework in depth. That's a lot of books for someone who just wants a single, stand-alone resource that covers the essentials of Scrum!

The originators of Scrum (Jeff Sutherland and Ken Schwaber) do have a Scrum-specific publication called "The Scrum Guide." This short document (about 15 pages) is described by its authors as the "definitive rule book of Scrum and the

documentation of Scrum itself" (Schwaber and Sutherland 2011). They equate their document to the rules of the game of chess, "describing how the pieces move, how turns are taken, what is a win, and so on." Although useful as a Scrum overview or rule book, "The Scrum Guide" is by design not intended to be a comprehensive source of essential Scrum knowledge. Extending the authors' analogy, giving a new Scrum team just "The Scrum Guide" and expecting good results would be like giving a new chess player a 15-page description of the rules of chess and expecting her to be able to play a reasonable game of chess after reading it. It just isn't a stand-alone resource.

This book, *Essential Scrum,* is an attempt to be the missing single source for essential Scrum knowledge. It includes an in-depth discussion of Scrum's principles, values, and practices—one that in most cases agrees with other agile thought leaders and "The Scrum Guide." (Where this book offers a different perspective from what is widely promoted elsewhere, I point it out and explain why.) This book also describes approaches that are consistent with the Scrum framework and that have been used successfully by me and teams I have coached. I did not intend for this book to replace other books that provide a deep vertical treatment of a given Scrum practice or approach. Such books are complementary to and extend this book. Rather, think of *Essential Scrum* as the starting point on the journey of using Scrum to delight customers.

Intended Audience

For the many thousands of people who have taken my Working on a Scrum Team, Certified ScrumMaster, and Certified Scrum Product Owner classes, and the many teams I have coached, this book will refresh and perhaps even clarify topics we have already discussed. And for the even larger number of people with whom I have not yet had the pleasure of working, this book will either be your first introduction to Scrum and agile or it will be a chance to look at Scrum in a different light and perhaps even improve how you perform Scrum.

I did not write this book for any one specific role—this is not a book specifically for product owners, or ScrumMasters, or members of the development team. Instead, it is a book intended to give everyone involved with Scrum, from all the members of the Scrum team to those with whom they interact in the organization, a common understanding of Scrum based on a core set of concepts with a clear vocabulary for discussing them. With this shared foundation my hope is that your organization will be in a better position to successfully use Scrum to deliver business value.

I imagine that every Scrum team member would have a copy of this book on her desk open to a chapter relevant to the work at hand. I also envision managers at all levels of the organization reading it to understand why Scrum can be an effective approach for managing work and to understand the type of organizational change that may be necessary to successfully implement Scrum. Organizations using or

planning to use an agile approach other than Scrum will also find the information relevant and helpful to their specific agile adoption.

Organization of This Book

This book begins with a brief introduction to Scrum (Chapter 1) and concludes with a discussion of where you might go next (Chapter 23). The remaining chapters are organized into four parts:

- Part I—Core Concepts (Chapters 2–8): Scrum framework, agile principles, sprints, requirements and user stories, product backlog, estimating and velocity, and technical debt
- Part II—Roles (Chapters 9–13): product owner, ScrumMaster, development team, Scrum team structures, and managers
- Part III—Planning (Chapters 14–18): Scrum planning principles, multilevel planning, portfolio planning, envisioning/product planning, and release planning
- Part IV—Sprinting (Chapters 19–22): sprint planning, sprint execution, sprint review, and sprint retrospective

How to Use This Book

As you would expect, I wrote the book assuming that most people would read it linearly from front to back. If you are new or newer to Scrum, you should take this approach because the chapters do tend to build on one another. That being said, if you are looking for one place to get an end-to-end overview of the Scrum framework (a highly visual Scrum primer), read and reference Chapter 2.

For those who are more familiar with Scrum, you can use this book as a Scrum reference guide. If you're interested in sprint retrospectives, jump directly to Chapter 22. If you are interested in exploring the nuances of the product backlog, jump directly to Chapter 6. I highly recommend, however, that everyone, even those familiar with Scrum, read Chapter 3 in its entirety. The principles laid out there form the foundation of the Scrum framework and the rest of the book. It is not simply a restatement of the values and principles of the Agile Manifesto (Beck et al. 2001) that is common in many other written descriptions of Scrum.

Visual Icon Language

I am proud to include in this book a new visual language for describing Scrum. This language is composed from a vocabulary of icons that have been designed to capture essential Scrum roles, artifacts, and activities. This visual Scrum language is an

effective way to communicate concepts and improves the overall shared understandability of Scrum. If you are interested in obtaining and using the new full-color visual Scrum language art (this book is printed in two colors), visit www.innolution.com for details. This website will also host a variety of resources and discussions related to the book.

Let's Get Started

So, whatever your role, whatever your situation, you have picked up this book for a reason. Spend a little time getting to know Scrum. In the pages that follow you just might find a powerful framework that you can make your own, allowing you to substantially improve the way you develop and deliver products and services to delight your customers.

Acknowledgments

This book would not have been possible without the input of many people, including the thousands of people who have attended my agile-related classes and coaching sessions. By mentioning some people by name, I run the risk of failing to mention others. To those whose names I fail to mention, please know that all of our discussions and email exchanges have been invaluable to me and have definitely influenced this book!

There are three people in particular I would like to thank: Mike Cohn, Rebecca Traeger, and Jeff Schaich. Without the unique involvement of each, this book would be a mere shadow of itself.

Mike Cohn has been a friend and colleague since we first worked together at Genomica in 2000. He was gracious enough to include my book in the Mike Cohn Signature Series; by being affiliated with Mike and the other prestigious authors in that book series, "I look good by the company that I keep," as my parents would say. Mike was my go-to person whenever I wanted to bounce around ideas or discuss book strategies. He always made time in his insane schedule to review each chapter and give me his thoughtful feedback. Working with Mike all these years has been a very rewarding experience—one that I hope will continue long into the future.

Rebecca Traeger has been my personal editor on this book. We have worked together since my days as managing director of the Scrum Alliance in 2007. At that time Rebecca was the editor of the Scrum Alliance website and through that work (and much more since) became the industry's foremost editor on agile-related materials. Early on in writing this book I reached out to Rebecca and asked if she would work with me again, and to my good fortune, she agreed. Nobody saw any chapter unless Rebecca had seen it first. At times her feedback would make me blush, because she frequently improved how I said something, making it sound both more understandable and approachable. If you just love a section of this book, you can be sure Rebecca had her hands in it. If you don't, I probably foolishly chose to ignore her recommendations.

Jeff Schaich is an artist/designer extraordinaire. We have worked on so many different art projects that I can't recall them all. Early on in the formulation of this book I wanted to create an agile/Scrum icon vocabulary to use as the basis for my training presentations and many of the over 200 figures in the book. I knew that I needed a great designer to pull off this feat. Jeff agreed to take on the challenge. There are times when this book seemed like two different projects—writing the content and creating

the artistic concepts. I'm honestly not sure which took more time. I am sure, however, that without Jeff's artistic input, this book would have suffered immeasurably.

I am deeply honored to have both Mike Cohn and Ron Jeffries, two luminaries in the agile community, write forewords for the book! In their own unique ways each has done a great job of properly placing the book in context and opening the door for a discussion of Essential Scrum. Also, Mike, stop eating at Burger King, and Ron, thanks for not pushing the red button!

I'd also like to thank the many people who took time out of their busy schedules to review chapters and send me their feedback. Let me start by mentioning reviewers who provided extensive feedback: Joe Balistrieri, Johannes Brodwall, Leyna Cotran, Martine Devos, Scott Duncan, Ilan Goldstein, John Hebley, Geir Hedemark, James Kovacs, Lauri Mackinnon, Robert Maksimchuk, and Kevin Tureski.

In addition, I would like to thank other reviewers who provided excellent feedback on select chapters: Lyssa Adkins, John Bauer, Sameer Bendre, Susan Briscoe, Pawel Brodzinski, Rowan Bunning, Josh Chappell, Lisa Crispin, Ward Cunningham, Cornelius Engelbrecht, Julia Frazier, Brindusa Gabur, Caroline Gordon, Drew Jemilo, Mike Klimkosky, Tom Langerhorst, Bjarne Larsen, Dean Leffingwell, Maurice le Rutte, David Luzquiños, Lv Yi, Shay McAulay, Armond Mehrabian, Sheriff Mohamed, Cuan Mulligan, Greg Pease, Roman Pichler, Jacopo Romei, Jens Schauder, Bill Schroeder, Yves Stalgies, Branko Stojaković, Howard Sublett, Julie Sylvain, Kevin Tambascio, Stephen Wolfram, and Michael Wollin.

I would also like to thank the staff at Pearson who were great partners in this project. They tolerated my delays with patience and always offered encouragement. Special thanks to Chris Guzikowski, who oversaw the whole thing from soup to nuts. He was there from my first Pearson meeting at a pub in Lexington, MA, through the final production. I would also like to thank Olivia Basegio for adeptly handling logistics and Julie Nahil who did a fantastic job overseeing the project. In addition, thanks to Barbara Wood for the great job of helping polish the manuscript and Gail Cocker for pulling all of the art together into a coherent and beautiful whole.

I am also grateful to my assistant, Lindsey Kalicki, to whom I was able to offload many important tasks so that I could stay focused on book development. I am lucky to be able to work with such a skilled professional.

Most of all, I would like to acknowledge my family—Jenine, Jonah, and Asher—and the critical role that they played. I have asked so very much from them during the long effort of creating this book. No amount of gratitude can make up for the family pressure it caused and our lost time together.

Jenine is my loving soulmate and has stuck by me through all of the ups and downs of writing this book. The sacrifices she made so that I could write would double the size of this book if I tried to list them all. I couldn't have done it without her!

Funny thing is, a year after we were married in 1993, I published my first book, *Succeeding with Objects*. At that time Jenine made me promise that I would never write another book again. Luckily for me, after 15 years memories fade and the

crushing workload doesn't seem as bad in hindsight, so when she urged me to write this one I was surprised to say the least! She hasn't yet told me I can't do book number three, but I suspect it might be 15 more years before the memory of this one fades enough for either of us to want me to write another one!

I also deeply appreciate the loving support from my sons, Jonah and Asher. They gave up time with their dad so that I could write. They were always there to bounce around ideas and to give input on the book. A number of their content and art suggestions have made their way into the book—and it's better because of them! I hope they learned the value of perseverance and that even the most daunting work can be completed if you take it a step at a time and don't give up.

Finally, I would like to acknowledge my mom, Joyce Rubin (Genesha Esther bat Avrahm), for all of the love and support she gave me. Without her influence this book would never have been possible. Sadly, she did not survive to see its publication. Her passing in January 2012 left a void in my life and the lives of her family that can never be filled. She was a very special person to the many whose lives she touched. Mom, I miss you more than I can possibly express.

This page intentionally left blank

About the Author

Kenny Rubin provides Scrum and agile training and coaching to help companies develop products in an effective and economically sensible way. A Certified Scrum Trainer, Kenny has trained over 18,000 people on agile and Scrum, Smalltalk development, managing object-oriented projects, and transition management. He has coached over 200 companies, ranging from start-ups to Fortune 10.

Kenny was the first Managing Director of the worldwide Scrum Alliance, a nonprofit organization focused on the successful adoption of Scrum. In addition to this book, Kenny is also the coauthor of the 1995 book *Succeeding with Objects: Decision Frameworks for Project Management*. He received his B.S. in Information and Computer Science from the Georgia Institute of Technology and his M.S. in Computer Science from Stanford University.

Kenny's background is rooted in the object-oriented technology community. He started as a Smalltalk developer on a NASA-funded project back in 1985 and developed the first blackboard expert system outside of LISP. In 1988 he was fortunate to join ParcPlace Systems, a start-up company formed as a Xerox PARC spin-off, whose charter was to bring object-oriented technology out of the research labs and release it to the world. As a Smalltalk development consultant with many different organizations in the late 1980s and throughout the 1990s, Kenny was an early adopter of agile practices. His first use of Scrum was in 2000 for developing bioinformatics software.

In the course of his career, Kenny has held many roles, including successful stints as a Scrum product owner, ScrumMaster, and member of development teams. In addition, he has held numerous executive management roles: CEO, COO, VP of Engineering, VP of Product Management, and VP of Professional Services. He has also overseen the development of five commercial software product suites, generating over $200M in aggregate revenue. In addition, he has been directly involved in raising over $150M in venture capital funding and assisted in taking two companies public on the NASDAQ.

His multifaceted background gives Kenny the ability to understand (and explain) Scrum and its implications equally well from multiple perspectives: from the development team to the executive board.

This page intentionally left blank

Chapter 1
INTRODUCTION

On June 21, 2000, I was employed as Executive Vice President at Genomica, a bio-informatics company in Boulder, Colorado. I remember the date because my son Asher was born at one o'clock that morning.

His birth was a good start to the day. Asher was actually born on his predicted due date (in the United States this happens about 5% of the time). So we (really my wife, Jenine) had finished our nine-month "project" on schedule. And to top things off, Asher had a very high Apgar score, indicating that we had produced a healthy, good-quality result! Our biggest stakeholder, our older son, Jonah, was thrilled to have a younger brother. On time, high quality, and delighted stakeholders—it truly was a good day!

After a brief nap, I checked email and saw that the CEO of Genomica had sent an urgent message asking me to be at a board of directors' meeting at 8:00 a.m. that same day. Begrudgingly, I left the hospital and went to the meeting.

When I arrived, I was told that the VP of Engineering had been fired the night before and I had now inherited the 90-person engineering team. I wasn't surprised. For several months the executive team and board had been discussing Genomica's inability to deliver valuable products on time and with acceptable quality, and the VP of Engineering was at the center of that discussion.

It was now my responsibility to oversee the effort of substantially improving the results of our product development organization. I remember being struck by the irony of that day's successful delivery and my new responsibilities.

Because I was already quite busy overseeing sales and marketing, I was told that at my discretion I could hire a new VP of Engineering to report to me. The person I chose to hire was Mike Cohn (Cohn 2004; Cohn 2006; Cohn 2010), and Scrum was the approach that we decided to use.

What Is Scrum?

Scrum is an **agile** approach for developing innovative products and services. Figure 1.1 shows a simple, generic, agile development approach.

With an agile approach, you begin by creating a **product backlog**—a prioritized list of the features and other capabilities needed to develop a successful product. Guided by the product backlog, you always work on the most important or highest-priority items first. When you run out of resources (such as time), any work that didn't get completed will be of lower priority than the completed work.

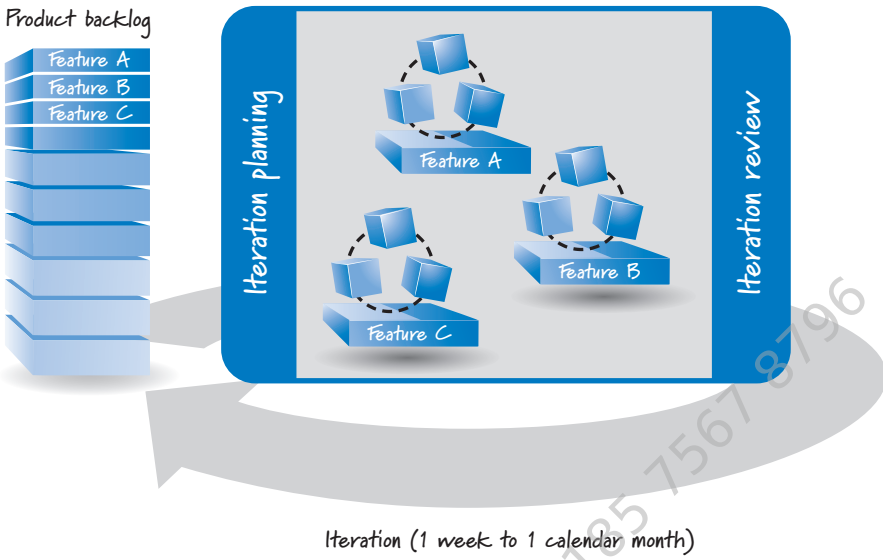

FIGURE 1.1 Agile development overview

The work itself is performed in short, timeboxed **iterations**, which usually range from a week to a calendar month in length. During each iteration, a self-organizing, **cross-functional team** does all of the work—such as designing, building, and testing—required to produce completed, working features that could be put into production.

Typically the amount of work in the product backlog is much greater than can be completed by a team in one short-duration iteration. So, at the start of each iteration, the team plans which high-priority subset of the product backlog to create in the upcoming iteration. In Figure 1.1, for example, the team has agreed that it can create features A, B, and C.

At the end of the iteration, the team reviews the completed features with the stakeholders to get their feedback. Based on the feedback, the product owner and team can alter both what they plan to work on next and how the team plans to do the work. For example, if the stakeholders see a completed feature and then realize that another feature that they never considered must also be included in the product, the product owner can simply create a new item representing that feature and insert it into the product backlog in the correct order to be worked on in a future iteration.

At the end of each iteration, the team should have a potentially shippable product (or increment of the product), one that can be released if appropriate. If releasing after each iteration isn't appropriate, a set of features from multiple iterations can be released together.

As each iteration ends, the whole process is begun anew with the planning of the next iteration.

Scrum Origins

Scrum's rich history can be traced back to a 1986 *Harvard Business Review* article, "The New New Product Development Game" (Takeuchi and Nonaka 1986). This article describes how companies such as Honda, Canon, and Fuji-Xerox produced world-class results using a scalable, team-based approach to **all-at-once product development**. It also emphasizes the importance of empowered, self-organizing teams and outlines management's role in the development process.

The 1986 article was influential in weaving together many of the concepts that gave rise to what today we call Scrum. Scrum is not an acronym, but rather a term borrowed from the sport of rugby, where it refers to a way of restarting a game after an accidental infringement or when the ball has gone out of play. Even if you are not a rugby aficionado, you have probably seen a scrum where the two sets of forwards mass together around the ball with locked arms and, with their heads down, struggle to gain possession of the ball.

Takeuchi and Nonaka used the metaphors of rugby and the scrum to describe product development:

> *The . . . "relay race" approach to product development . . . may conflict with the goals of maximum speed and flexibility. Instead a holistic or "rugby" approach—where a team tries to go the distance as a unit, passing the ball back and forth—may better serve today's competitive requirements.*

In 1993, Jeff Sutherland and his team at Easel Corporation created the Scrum process for use on a software development effort by combining concepts from the 1986 article with concepts from object-oriented development, empirical process control, iterative and incremental development, software process and productivity research, and complex adaptive systems. In 1995, Ken Schwaber published the first paper on Scrum at OOPSLA 1995 (Schwaber 1995). Since then, Schwaber and Sutherland, together and separately, have produced several Scrum-specific publications, including *Agile Software Development with Scrum* (Schwaber and Beedle 2001), *Agile Project Management with Scrum* (Schwaber 2004), and "The Scrum Guide" (Schwaber and Sutherland 2011).

Though Scrum is most commonly used to develop software products, the core values and principles of Scrum can and are being used to develop different types of products or to organize the **flow** of various types of work. For example, I have worked with organizations that have successfully used Scrum for organizing and managing the work associated with hardware development, marketing programs, and sales initiatives.

Why Scrum?

So what made an agile approach like Scrum a good choice for Genomica? First, it was clear that Genomica's previous approach to development simply wasn't working. That was the bad news; the good news was that most everyone agreed.

Genomica operated in a complex domain where more was unknown than known. We built products that had never been built before. Our focus was on bleeding-edge, continuously evolving, state-of-the-art, discovery informatics platforms that research scientists would use to help discover the next blockbuster molecule. We needed a way of developing that would allow us to quickly explore new ideas and approaches and learn fast which solutions were viable and which were not. We had a strategic corporate partner to whom we needed to show working results every few weeks or so to get feedback, because our product had to integrate with its core line of DNA sequencers. This need for rapid exploration and feedback did not mesh well with the detailed, up-front planning we had been doing.

We also wanted to avoid big up-front architecture design. A previous attempt to create a next generation of Genomica's core product had seen the organization spend almost one year doing architecture-only work to create a grand, unified bioinformatics platform. When the first real scientist-facing application was put on top of that architecture, and we finally validated design decisions made many months earlier, it took 42 seconds to tab from one field on the screen to the next field. If you think a typical user is impatient, imagine a molecular biologist with a Ph.D. having to wait 42 seconds! It was a disaster. We needed a different, more balanced approach to design, which included some design up front combined with a healthy dose of emergent, just-in-time design.

We also wanted our teams to be more cross-functional. Historically Genomica operated like most organizations. Development would hand off work to the test teams only after it was fully completed. We now had a desire for all team members to synchronize frequently—daily was the goal. In the past, errors were compounded because important issues were being discussed too late in the development effort. People in different areas weren't communicating frequently enough.

For these reasons, and others, we determined that Scrum would be a good fit for Genomica.

Genomica Results

When we chose to embrace Scrum, it was not well known; the first Scrum book didn't appear until the following year (Schwaber and Beedle 2001). However, we pulled together the available information and did the best we could, which was substantially better than we had done before (see Table 1.1).

From an effort perspective, with Scrum development we required one-tenth the amount of effort (calculated in person-months) compared to our previous use of a

TABLE 1.1 Genomica Scrum Results

Measure	Waterfall	Scrum
Effort	10x	1x
Velocity	1x	7x
Customer satisfaction	Poor	Excellent

plan-driven, **waterfall-style** approach to develop a comparable amount of product functionality. Equally important, the Scrum development progressed at seven times the velocity of the waterfall development, meaning that per unit of time, the Scrum development produced about seven times more valuable features than the waterfall development. Even more compelling was that we delivered the software to our partner in a time frame that met the expectations for the launch of its new hardware platform. This enabled us to reinforce a long-term partnership that substantially increased the shareholder value of Genomica.

Can Scrum Help You?

The Genomica pre-Scrum experience of building features that nobody wanted and delivering those features late and with poor quality is not uncommon. Genomica, like many other organizations, had survived by being no worse than its competitors. I saw the same problems when I first started working in commercial software development in the mid-1980s. And for many, after nearly 30 years, the situation hasn't improved.

Today, if you gathered together your business people and developers and asked them, "Are you happy with the results of our software development efforts?" or "Do you think we deliver good customer value in a timely, economical, and quality manner?" what would they say?

More often than not, the people I meet during my worldwide training and coaching answer both questions with a resounding "No." This is followed by a chorus of "Project failure rate is unacceptably high"; "Deliverables are late"; "Return on investment frequently falls short of expectations"; "Software quality is poor"; "Productivity is embarrassing"; "No one is accountable for outcomes"; "Employee morale is low"; "Employee turnover is too high." Then there's the under-the-breath snicker that accompanies the tongue-in-cheek "There must be a better way."

Yet even with all this discontent, most people seem resigned to the fact that dissatisfaction is just part of the reality of software development. It doesn't have to be.

Organizations that have diligently applied Scrum are experiencing a different reality (see Figure 1.2).

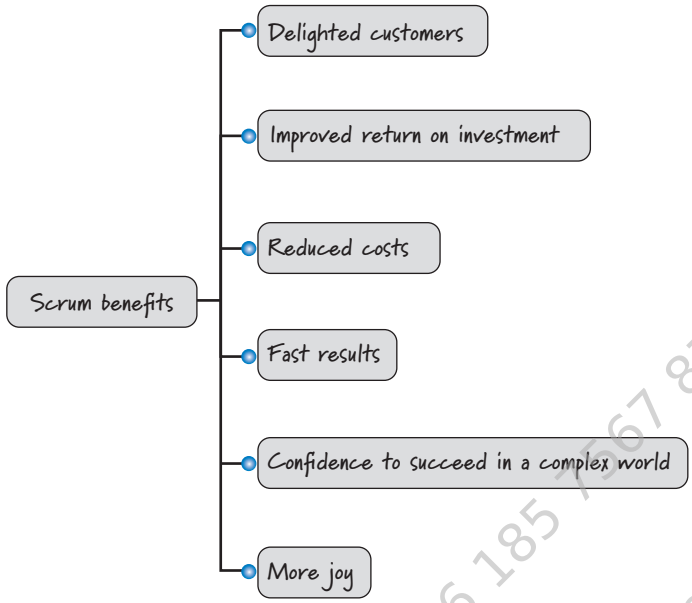

FIGURE 1.2 Scrum benefits

These organizations are repeatedly delighting their customers by giving them what they really want, not just the features they might have specified on the first day when they knew the least about their true needs. They are also seeing an improved return on investment by delivering smaller, more frequent **releases**. And, by relentlessly exposing organizational dysfunction and waste, these organizations are able to reduce costs.

Scrum's focus on delivering working, integrated, tested, business-valuable features each iteration leads to results being delivered fast. Scrum is also well suited to help organizations succeed in a complex world where they must quickly adapt based on the interconnected actions of competitors, customers, users, regulatory bodies, and other stakeholders. And Scrum provides more joy for all participants. Not only are customers delighted, but also the people doing the work actually enjoy it! They enjoy frequent and meaningful collaboration, leading to improved interpersonal relationships and greater mutual trust among team members.

Don't get me wrong. Though Scrum is an excellent solution for many situations, it is not the proper solution in all circumstances. The **Cynefin** framework (Snowden and Boone 2007) is a sense-making framework that helps us understand the situation in which we have to operate and decide on a situation-appropriate approach. It defines and compares the characteristics of five different domains: **simple**, **complicated**, **chaotic**, **complex**, and a fifth domain, **disorder**, which occurs when you don't